

LYNX UNIFIED COMMUNICATIONS, LLC

ROBOCALL MITIGATION PLAN

I. Background

The Federal Communications Commission (“FCC” or “Commission”) has adopted rules requiring voice service providers, like Lynx Unified Communications, LLC (“Lynx Unified Communications”), to, among other things, file certifications and robocall mitigation plans in the FCC’s Robocall Mitigation Database (“RMD”).

47 CFR § 64.6305; see also Call Authentication Trust Anchor, WC Docket No. 17-97, Sixth Report and Order and Further Notice of Proposed Rulemaking, FCC 23-18 (Mar. 17, 2023) (“Sixth Caller ID Authentication Report and Order”).

The FCC requires that all voice service providers certify, by February 26, 2024, in the Commission’s RMD whether they have implemented the STIR/SHAKEN authentication framework, and that all of the calls that it originates, carries or processes are subject to a robocall mitigation program consistent with the Commission’s rules.¹ The provider’s mitigation plan “shall include reasonable steps to avoid originating illegal robocall traffic and shall include a commitment to respond within 24 hours to all traceback requests from the Commission, law enforcement, and the industry traceback consortium, and to cooperate with such entities in investigating and stopping any illegal robocallers that use its service to originate calls.” 47 C.F.R. § 64.6305(a)(2); id. at § 64.6305(c)(2) (parallel rule for non-gateway intermediate providers’ “carrying or processing illegal robocall traffic”).

Despite imposing a general duty to mitigate “robocalls,” the Commission’s codified rules do not define “robocall.”² The TRACED Act defines “robocall violation” as “a violation of subsection (b) or (e) of section 227 of the Communications Act of 1934.” TRACED Act § 11(d), Pub. L. No. 116-105, 133 Stat. 3274 (2019); id. at § 13(d)(f)(3) (defining a “suspected unlawful robocall” as a “call that

1) See <https://docs.fcc.gov/public/attachments/DA-24-73A1.pdf> (WCB Jan. 25, 2024 Public Notice).

2) The Commission’s TCPA-related regulations, 47 C.F.R. § 64.1200, use the undefined term “Illegal traffic,” 47 C.F.R. § 64.1200((k)-(m)), while its STIR/SHAKEN-related regulations define the FCC’s public-facing Robocall Mitigation Database, but not the “robocalls” that are to be mitigated. 47 C.F.R. § 64.6300. Thus, neither of the regulations that bear directly

on the project of robocall mitigation expressly define “robocall.” Cf. *In re Advanced Methods to Target & Eliminate Unlawful Robocalls*, CG Docket No. 17-59, Report and Order and Further Notice of Proposed Rule Making, 32 FCC Rcd. 9706, 9725 ¶ 56 (Nov. 17, 2017) (declining to define “illegal robocall,” and acknowledging that “the record shows confusion regarding how the proposed definition of ‘illegal robocall’ should apply to the call blocking rules.”); *In re Core Communications, Inc., et al. Tariff F.C.C. No. 3*, WC Docket No. 21-191, Order, 36 FCC Rcd. 9997, 9999 (June 23, 2021) (In a related context, stating that the “Commission defines a robocall as a call made using an automatic telephone dialing system or an artificial or prerecorded voice.”) (citing 47 U.S.C. § 227(b)(1); 47 CFR § 64.1200(a)). Lynx Unified Communications therefore focuses on the two types of unlawful calls—227(b) and 227(e) of the TCPA—that Congress expressly addressed.

The Commission or a voice service provider reasonably believes was made in violation of subsection (b) or (e) of section 227 of the Communications Act of 1934.” Thus, Lynx Unified Communications’ training and mitigation efforts focus, as they must, on potentially unlawful calls that violate either (a) 47 U.S.C. § 227(b) (governing non-emergency calls initiated with a prerecorded or artificial voice or an automatic telephone dialing system, as that term has been interpreted by the Supreme Court in *Facebook, Inc. v. Duguid*, 592 U.S. 395 (2001)) or (b) 47 U.S.C. 227(e), or the Truth in Caller ID Act, which prohibits people from causing “any caller identification service to knowingly transmit misleading or inaccurate caller identification information with the intent to defraud, cause harm, or wrongfully obtain anything of value,” absent an applicable exemption.

The FCC’s rules contemplate that the provider’s plan should describe how it “complies with its obligation to know its customers pursuant to § 64.1200(n)(4) [for originating voice service providers], any procedures in place to know its upstream providers, and the analytics system(s) it uses to identify and block illegal traffic, including whether it uses any third-party analytics vendor(s) and the name(s) of such vendor(s).” 47 C.F.R. § 64.6305(d)(1)(ii); see also 47 C.F.R. § 64.6305(f)(2)(ii). The Commission has acknowledged, however, that, “we do not currently require [] providers other than gateway providers to engage in ‘know-your-upstream-provider’ procedures, [but] if they have put such procedures in place, they must be documented in their robocall mitigation plan,” and that “we do not specifically require providers to use call analytics.” Sixth Caller ID Authentication Report and Order ¶ 40 & n.155-56.

The Commission has explained that a robocall-mitigation program is “sufficient if it includes detailed practices that can reasonably be expected to significantly reduce” the provider’s origination or carriage of illegal robocalls, and the voice service provider complies with the practices described in its plan. Sixth Caller ID Authentication Report and Order ¶ 31. Lynx Unified Communications is dedicated to developing and taking reasonable steps. This document outlines Lynx Unified Communications’ robocall mitigation plan.

II. Lynx Unified Communications' Know Your Customer ("KYC") Standards

Lynx Unified Communications is an intermediate voice service provider that focuses its business and operations on the domestic wholesale voice services communications market. It does not serve end users and therefore does not itself sign any originating calls. It has at all relevant times complied with the obligations on intermediate providers under 47 C.F.R. § 64.6302.

As part of its wholesale-customer-onboarding process, Lynx Unified Communications undertakes various commercially reasonable precautions to verify a given customer's identity, including having its customers complete a USF Exemption Certificate, and enter into a formal written contract. Among other data points collected and, as appropriate, verified, as part of those processes Lynx Unified Communications gathers the following customer-identifying facts and circumstances:

- Customer business name,
- state of incorporation,
- physical business location,
- contact person(s),
- FCC Filer ID and FRN,
- STIR/SHAKEN status,
- FCC Robocall Mitigation Database link.

Lynx Unified Communications' verification practices include confirming a carrier customer's reported status in the FCC's Form 499 Filer database of registered carriers, and the Commission's Robocall Mitigation Database to ensure the listing is current and active and aligns with Lynx Unified Communications' conversations during the onboarding process.

As part of the contracting process, in addition to agreeing to Lynx Unified Communications' AUP, discussed below, the carrier customers also agree to authenticate (or have already received authenticated) all traffic they hand to Lynx Unified Communications pursuant to STIR/SHAKEN, and agree that Lynx Unified Communications will decline to carry any unsigned traffic, they may attempt to deliver to Lynx Unified Communications. Lynx Unified Communications does transmit all STIR/SHAKEN data it receives in the call flow.

III. Lynx Unified Communications' Acceptable Use Policy

Lynx Unified Communications' customers' use of its services are subject to Lynx Unified Communications' Acceptable Use Policy (AUP).

Among other things, this AUP prohibits:

- Using the Services to engage in any activities that are illegal, abusive, false, fraudulent, deceptive or misleading, or any activity that exploits, harms, or threatens to harm children.
- Engaging in any unsolicited advertising, marketing, or other unlawful activities using the Services, including, without limitation, any activities that violate laws applicable to advertising, electronic communications, and telemarketing, including, but not limited to, Section 5 of the FTC Act (15 U.S.C. § 45), the CAN-SPAM Act (15 U.S.C. §§ 7701-7713), the Telemarketing Consumer Fraud and Abuse Prevention Act (15 U.S.C. §§ 6101-6108), the Federal Trade Commission Telemarketing Sales Rule (16 C.F.R. § 310 et seq.), the Telephone Consumer Protection Act (47 U.S.C. §§ 227), the Federal Communications Commission regulations (47 C.F.R. 64.1200 et seq.) and orders implementing the Telephone Consumer Protection Act, and all federal and state Do Not Call and calling-time restriction laws and regulations.
- Creating a false identity, forged email address or header, phone number, or otherwise attempting to mislead others as to the identity of the sender or the origin of a message or phone call, including failing to comply with the Truth in Caller ID Act.

Lynx Unified Communications is authorized to suspend and/or terminate all Services in the event of an AUP violation.

IV. STIR-SHAKEN Capabilities

Lynx Unified Communications is a non-gateway intermediate provider that only contractually agrees to accept traffic that is already STIR/SHAKEN-authenticated before it is handed off to Lynx Unified Communications for carriage, and therefore, does not have a STIR/SHAKEN implementation obligation. See Sixth Caller ID Authentication Report and Order ¶ 20 (“the first intermediate provider in the call path may completely avoid the need to authenticate calls if it implements contractual provisions with its upstream originating providers stating that it will only accept authenticated traffic”).

As noted above, Lynx Unified Communications provides its intermediate voice services solely to other U.S.-based, domestic, FCC-registered originating and intermediate voice service providers. As such, Lynx Unified Communications is not the originating provider capable of authenticating the calling party’s relationship with the caller ID ascribed to a call originating by U.S.-based voice service providers. Nevertheless, Lynx Unified Communications’ network accepts and accurately transmits all STIR/SHAKEN-related data it receives in the call path, consistent with 47 C.F.R. § 64.6302.

V. Proactive Network Monitoring.

Lynx Unified Communications has various monitoring and reporting systems in place to analyze traffic on its network for potentially suspicious traffic patterns that are likely to be associated with illegal robocalls or other potentially unlawful usage. Lynx Unified Communications, as an intermediate provider, does not have a direct relationship with a party that may be originating unlawful robocalls. Accordingly, if it suspects it has identified potentially unlawful calling, in addition to taking the steps outlined above, it may also contact the carrier customer from which it received the traffic at issue to investigate their customer from whom they received the traffic at issue. At the same time, Lynx Unified Communications remains mindful of the Commission's long-standing policy of common carriage and not blocking traffic in a manner that, if prevalent, would threaten the ubiquity and seamlessness of the nation's communications network.

If Lynx Unified Communications detects a pattern consistent with illegal robocalls or has reason to suspect illegal robocalling or spoofing is occurring on its network, Lynx Unified Communications takes prompt action to identify the party that is using its network to carry these calls and take appropriate action.

VI. Commitment to Timely Traceback Responses and Cooperation

Lynx Unified Communications commits to respond fully and in a timely manner, within 24 hours, to all traceback requests from the Commission, law enforcement, and the industry traceback consortium, and to cooperate with such entities in investigating and stopping any illegal robocallers that use its service to originate, carry, or process illegal robocalls. Lynx Unified Communications will dedicate sufficient resources to provide timely and complete responses to such requests, and will investigate suspicious activity found by its monitoring systems or reported to it by appropriate parties and take appropriate action.

VII. Third-Party Analytics Providers

Lynx Unified Communications does not currently utilize any third-party analytics providers to review or monitor traffic across its network.

VIII. Principals, Affiliates, Subsidiaries, and Parent Companies

Lynx Unified Communications LLC was formed in Hudson County NJ. with principal location of 7004 Blvd East Suite 27A, Guttenberg NJ 07093 on September 10th, 2024. The principal owners are Thomas Jacob, and Caroline Sahagun Jacob, both members who reside at the above address