

Robocall Mitigation Plan

C-M-L Telephone Cooperative Association

Executive Summary

The purpose of this Robocall Mitigation Plan (RMP) is to implement best practices, procedures and policies to mitigate or reduce originating illegal traffic (aka robocalls).

C-M-L Telephone Cooperative Association (CML Telephone) will:

1. Know its customer and will follow the best practices for vetting caller identity as described in the NANC Call Authentication Trust Anchor Working Group as listed below:
 - a. <https://docs.fcc.gov/public/attachments/DOC-367133A1.pdf>
2. Cooperate with traceback requests received from carriers, the registered traceback consortium and law enforcement within 24 hours of receipt of such request
3. Take steps when notified by the Commission's Enforcement Bureau to mitigate any suspected illegal traffic
 - a. Investigate suspected illegal traffic
 - b. Report back to the Enforcement Bureau the results of said investigation and any mitigation steps taken
 - i. Including whether any of the calls came from another voice service provider with direct access to the U.S. public switched telephone network (PSTN)
4. Prevent any calls being blocked to or from emergency services
5. Implement the following best practices, policies and procedures as part of CML Telephone's RMP

Point of contact for this RMP:

Primary Contact: Bruce Johnson
Title: General Manager/CEO
Address: 208 Eagle Street, P.O. Box 18, Meriden, IA 51037-0018
Phone: 712-443-8222
Email: cmltelco@netins.net

Regulatory Background

The Telephone Robocall Abuse Criminal Enforcement and Deterrence Act (TRACED Act) requires voice service providers to implement STIR/SHAKEN authentication on SIP/IP traffic on their networks as well as to implement an RMP on non-SIP/IP traffic (aka TDM traffic) on their network.

The FCC granted a continuing extension for voice service providers with non-IP networks (aka TDM) from implementing STIR/SHAKEN authentication. Below are the rule sections that apply to CML Telephone:

§ 64.6304 Extension of implementation deadline.

(a) *Small voice service providers.*

(2) For purposes of this paragraph (a), “small voice service provider” means a provider that has 100,000 or fewer voice service subscriber lines (counting the total of all business and residential fixed subscriber lines and mobile phones and aggregated over all of the provider's affiliates).

(d) *Non-IP Networks.* Those portions of a voice service provider or gateway provider's network that rely on technology that cannot initiate, maintain, carry, process, and terminate SIP calls are deemed subject to a continuing extension. A voice service provider subject to the foregoing extension shall comply with the requirements of § 64.6303(a) as to the portion of its network subject to the extension, and a gateway provider subject to the foregoing extension shall comply with the requirements of § 64.6303(b) as to the portion of its network subject to the extension.

§ 64.6303 Caller ID authentication in non-IP networks.

(a) Except as provided in §§ 64.6304 and 64.6306, not later than June 30, 2021, a voice service provider shall either:

(1) Upgrade its entire network to allow for the initiation, maintenance, and termination of SIP calls and fully implement the STIR/SHAKEN framework as required in § 64.6301 throughout its network; or

(2) Maintain and be ready to provide the Commission on request with documented proof that it is participating, either on its own or through a representative, including third party representatives, as a member of a working group, industry standards group, or consortium that is working to develop a non-internet Protocol caller identification authentication solution, or actively testing such a solution.

Basis for Exemption

CML Telephone is a “small voice service provider” that has approximately 995 voice service subscriber lines aggregated over all of the company’s affiliates. CML Telephone’s voice network is currently non-IP for all outgoing traffic to the tandem and therefore qualifies for the exemption. CML Telephone is required to comply with the requirements of §64.6303 (a). This non-IP tandem connection carries all traffic to the outside world and relies on a technology that cannot originate, carry, process, or terminate SIP calls.

Meeting §64.6303(a)(2) Obligations

CML Telephone is a member of the National Exchange Carrier Association (NECA) which acts as its third party representative to develop a non-internet Protocol caller identification authentication solution. NECA is a member of the ATIS Non-IP Call Authentication Task Force which developed the non-IP call authentication solutions.

Roll in the Call Chain

CML Telephone is a voice service provider providing voice service and broadband services to end user customers inside its regulated serving area. CML Telephone does not have a STIR/SHAKEN obligation at this time due to having a non-IP network (see above).

Operating Company Number (OCN)

CML Telephone’s Operating Company Number (OCN) is 1133.

Status of participation in the Robocall Mitigation Database (RMD)

CML Telephone has not been prohibited from filing in the RMD.

Commission, law enforcement and/or regulatory action or investigation related to spoofing or suspected unlawful robocalls

CML Telephone has not been involved in any Commission, law enforcement and/or regulatory action related to spoofing or suspected unlawful robocalls. CML Telephone has not been removed from the

RMD and precluded from refiling due to deficiencies needing to be cured. CML Telephone authorization has not been revoked due to continuing violations of the Commission's robocall mitigation rules.

Prior two years status

In the past two years CML Telephone has not been the subject of a formal Commission, law enforcement and/or regulatory action or investigation with accompanying findings of actual or suspected wrongdoing due to CML Telephone transmitting, encouraging, assisting or otherwise facilitating illegal robocalls or spoofing related to spoofing or suspected unlawful robocalls.

Know your upstream provider

CML Telephone receives the majority of its traffic from Aureon, a Centralized Equal Access provider in Iowa. Aureon blocks traffic from carriers that are not in the RMD or have been removed from the RMD.

Know your Customer

CML Telephone takes the following steps to prevent new and existing customers from originating robocalls over its network:

- The vast majority of CML Telephone's subscribers are defined as a "direct" retail consumer or commercial end users located inside its serving areas
 - These subscribers are best defined as residential and small business customers and CML Telephone will:
 - Validates the residential or business customer's identity
 - Validates the physical location which will be the fixed location where service is established
- For any commercial VoIP customers, CML Telephone gathers the following information as reasonably practical to "Know your Customer":
 - Physical business location
 - Contact person's information
 - Federal tax ID number
 - Nature of the customer's business
- CML Telephone does not offer service currently to wholesale subscribers

Robocall Mitigation Plan (RMP)

Robocall Mitigation Efforts: Long Distance Traffic

CML Telephone uses a third party administrator, Aureon, for call analytics systems used to identify and block illegal traffic. Aureon, an end-to-end technology solutions organization, provides a Caller Identification Authentication (CIDA) service which includes Outbound Shield technology. This Outbound Shield uses a curated list of high-risk phone numbers and detects invalid numbers. If the calling number has been reported as spam, the calling number will be prevented from completing outbound calls.

See Appendix A for a copy of the certification by CML Telephone for Aureon's CIDA service.

Additionally, CML Telephone has implemented the following best practices, policies and procedures to mitigate unlawful illegal traffic (robocalls):

- Know its customer and will follow the best practices for vetting caller identity as described in the NANC Call Authentication Trust Anchor Working Group as listed below:
 - <https://docs.fcc.gov/public/attachments/DOC-367133A1.pdf>
- Cooperate with traceback requests received from carriers, the registered traceback consortium and law enforcement within 24 hours of receipt of such request
- Take steps when notified by the Commission's Enforcement Bureau to mitigate any suspected illegal traffic
- Investigate suspected illegal traffic
 - Report back to the Enforcement Bureau the results of said investigation and any mitigation steps taken
 - Including whether any of the calls came from another voice service provider with direct access to the U.S. public switched telephone network (PSTN)
- Prevent any calls being blocked to or from emergency services

Robocall Mitigation Plan (RMP)

Robocall Mitigation Efforts: Local and/or EAS Traffic

CML Telephone has implemented the following best practices, policies and procedures to mitigate unlawful illegal traffic (robocalls):

- Know its customer and will follow the best practices for vetting caller identity as described in the NANC Call Authentication Trust Anchor Working Group as listed below:
 - <https://docs.fcc.gov/public/attachments/DOC-367133A1.pdf>
- Cooperate with traceback requests received from carriers, the registered traceback consortium and law enforcement within 24 hours of receipt of such request
- Take steps when notified by the Commission's Enforcement Bureau to mitigate any suspected illegal traffic
- Investigate suspected illegal traffic
 - Report back to the Enforcement Bureau the results of said investigation and any mitigation steps taken
 - Including whether any of the calls came from another voice service provider with direct access to the U.S. public switched telephone network (PSTN)
- Prevent any calls being blocked to or from emergency services
- Nearly all residential and business lines can place only one call at a time
- All residential and business lines are only able to place calls originating from their own phone number
- Businesses with Trunk Hunting, ISDN-PRI or SIP trunk services are allowed to send spoofed numbers for legitimate business needs. This includes a main line number, DODs, toll free number, etc.
 - Caller ID and Setup are reviewed when the service is installed to verify legitimate caller ID information
 - Caller ID records are reviewed periodically to verify legitimate caller ID information
- Only numbers residing in our switch are assigned to residential and business lines
- Investigate any complaints about legitimate calls being blocked

Appendix A Third Party Service Agreements



7760 Office Plaza Drive South
West Des Moines, Iowa 50266

*The following documentation is to serve as proof of C-M-L Telephone Cooperative Assoc
(Company Name) robocall mitigation efforts in compliance with the Traced Act.*

Robocall Mitigation Efforts: Long Distance Traffic

Aureon, an end-to-end technology solutions organization, provides a Caller Identification Authentication (CIDA) service which includes Outbound Shield technology. This Outbound Shield uses a curated list of high-risk phone numbers and detects invalid numbers. If the calling number has been reported as spam, the calling number will be prevented from completing outbound calls.

I, Bruce Johnson (name), verify that C-M-L Telephone Coop Assn (Company Name) utilizes the aforementioned Caller Identification Authentication (CIDA) service to mitigate unlawful robocalling for long distance traffic.

Date: 6/28/2021

Robocall Mitigation Efforts: Local Traffic

I, _____ (name), verify that _____ (Company Name) utilizes the below robocall mitigation efforts for local traffic.

Description of robocall mitigation efforts in place:

Date: _____

TALENT • TECHNOLOGY • TOOLS

Certification of Robocall Mitigation Plan by Officer of the Company

I, Bruce Johnson, certify that the above information is true and correct to the best of my knowledge. My title is, GM/CEO, and am an officer of the company.