

IP Horizon LLC – Robocall Mitigation Plan

Feb 26, 2024

Contents

Overview

- Related Entities Covered

- IPH Roles in the Call Chain

- IPH Commitments and Certifications

Know Your Customer/Know your Upstream Provider Process

Response to Traceback Requests Commitment

- Post notification Process to mitigate illegal traffic

Robocall Mitigation Program Description

- Role: Non-Gateway Intermediate Provider

- Wholesale Inbound Traffic

- Wholesale Outbound Traffic

Attachment A: Wholesale KYC Form

Overview

This submission is intended to outline and show the commitment of IP Horizon LLC (“IPH”), to taking reasonable steps to avoid carrying illegal robocall traffic. IPH is committed to adhering to the deadlines and requirements set forth by the Federal Communications Commission (FCC) in the TRACED Act, as well as subsequent Reports and Orders. IPH continues to work with industry leaders, other service providers, and third-party solution providers to be proactive in combating against illegal robocalls.

Related Entities Covered:

- IP Horizon LLC
 - Located at 2901 Clint Moore Rd, Suite 2 Box 215, Boca Raton, FL 33496
- Operating Company Numbers (OCN)
 - 515J

IPH Roles in the Call Chain:

IPH is an IPES and only facilitates origination services in reference to the DID phone numbers that it controls and sells. Based on the service that IPH provides it would be classified as a Non-Gateway Intermediate Provider to our wholesale customer base, but only for origination services. IPH does not have the facilities to support voice termination through its network.

IPH Commitments and Certifications:

- IPH is committed to performing reasonable steps to mitigate illegal robocall traffic from processing through its network.
- IPH commits to respond fully, within 24 hours, to all traceback requests from the FCC, law enforcement, and the industry traceback consortium, and to cooperate with such entities in investigating and stopping illegal robocalls.
- IPH certifies that all calls that process through the network are subject to the robocall mitigation program.
- IPH Confirms that any prior certification has not been removed by Commission action and they have not been prohibited from filing in the Robocall Mitigation Database.
- IPH confirms that they have fully implemented STIR/SHAKEN on their IP network.
- IPH confirms that it has provisions in Terms and Conditions that allow termination of services if any fraudulent or illegal traffic is identified.
- IPH is committed to performing a KYC process for each customer that purchases services.
- IPH confirms that it is utilizing the SOMOS DNO list along with internal blacklists to block unauthorized traffic.

Know Your Customer/Know your Upstream Provider Process

IPH provides services only to other service providers. "Attachment B" is the form used for wholesale service providers that IPH does business with. KYC forms are updated annually and reviewed. Once we receive the form or the update, then our internal team reviews and validates the information provided. IPH will reject any customer that is not incorporated in the United States. IPH has provisions in Terms and Conditions that allow termination of services if any fraudulent or illegal traffic is identified.

Wholesale validation (Role – Non-Gateway Intermediate Provider):

- All new customers must go through the KYC process.
- Verify company info with the Secretary of State
- Validate address by performing a google search and a map search. If the address is a PO box, or a mail forwarding location, then a physical address is also required separate from the mailing address.
- Verify that the website for the company is valid.
- Perform a review of the company and principles for prior litigation with Nexis Diligence.
- Validate if the company shows on social media like LinkedIn or Facebook.
- Google search for any FCC/FTC/State AG Complaints.
- Wholesale validation includes all the same Enterprise Validation plus the following:
- Validate carrier is in the RMD and compare its info to the address and email info provided.
- Validate carrier has an active 499 registration, and that its info matches the info provided.
- Validate the carrier shows they are Stir/Shaken compliant in the RMD.
- Validate the FRN provided.

Response to Traceback Requests:

IPH actively works with the Industry Traceback Group (ITG) in the investigation of traceback requests.

Due to CPNI, IPH follows strict process of only sharing information through the official ITG portal. Upon notice via any method – phone, email, or direct engagement through the portal – an investigation is initiated promptly.

Post notification Process to mitigate illegal traffic:

- The result of the investigation and identification of the source is communicated to ITG via their Portal.
- We then report the issue to the client and ask them to take corrective action and share the steps taken.
- Clients not responding within 24 hours are given additional notice that their termination account will be suspended in the absence of a timely resolution.
- Weekly and Monthly reviews of Tracebacks is performed looking for any identifiable pattern.
- Clients triggering three or more Tracebacks in a thirty-day period and flagged for executive review.

At a minimum, this leads to a discussion with the client regarding their traffic, and further review of their KYC process. Depending on the results of this discussion IPH may choose to close the account.

Robocall Mitigation Program Description

Role: Non-Gateway Intermediate Provider

Wholesale Inbound Traffic:

Customers that are service providers that IPH is selling telephone numbers to and providing Inbound services for those numbers. Due to the nature of this service, it is expected that our customers are following FCC regulations and have their own mitigation plan. We do the following in our effort to help clean up before or better inform our service provider customers.

- Check if Identity Header is present, and if not add a header with our own signature and a "C" level attestation.
- Block any traffic that is on the SOMOS DNO list.
- Block any traffic from unallocated or invalid US numbers.
- System wide manual blocking based on an ANI
- Utilize YouMail to monitor for suspicious use of DID numbers that we have assigned to customers.

Wholesale Outbound Traffic:

IPH does not carry termination traffic through its network. All customers of IPH are responsible for their own customers' termination.

Michael Halperin

CEO

IP Horizon LLC

2901 Clint Moore Rd, Suite 2 Box 215 Boca Raton, FL 33496

x Michael Halperin

KNOW YOUR CUSTOMER QUESTIONNAIRE

CORPORATE INFORMATION

- 1. Customer Legal Name: _____
- 2. List of all business and trade names, fictitious names, and DBAs for the previous three (3) years: _____

- 3. State/Country and Year of Incorporation: _____
- 4. Federal Tax ID No. (FEIN) or foreign business license number: _____

CONTACT INFORMATION

- 1. Physical Address: _____
- 2. Billing Address (if different): _____
- 3. Website address: _____ *Social Media: _____
- 4. Contacts:

Billing Contact

Name: _____

Email: _____

Phone Number: _____

Physical Address: _____

Compliance Contact

Name: _____

Email: _____

Phone Number: _____

Physical Address: _____

Operation/Network Contact

Name: _____

Email: _____

Phone Number: _____

Physical Address: _____

Direct or Indirect Owner(s)

Name: _____

Email: _____

Phone Number: _____

Physical Address: _____

Name: _____

Email: _____

Phone Number: _____

Physical Address: _____

BUSINESS PURPOSE & ACTIVITIES

1. Type of Business (e.g., telecom, VoIP (wholesale, retail, or both) non-communications provider (specify business))

2. Describe types of voice services provided (e.g., local, long distance, VoIP, TDM, toll-free, inbound only, outbound only)

3. Describe types of businesses served (e.g., conference calling, call centers, international, enterprise, small and medium enterprises, wireless carriers, hosted PBX, other (please specify))

4. Do you or your customers originate or carry conversational call traffic, or intend to do the same?

_____Yes _____No

5. Do you or your customers originate or carry auto-dialed call traffic, or intend to do the same?
_____Yes _____No
6. Do you or your customers act as an Originator or U.S. Point of entry? _____Yes _____No
7. Do you or your customers engage in telemarketing?
_____Yes _____No
- a. If yes, please describe: _____
- b. If yes, do you use telephone calls that deliver prerecorded messages?
_____Yes _____No
8. Do you or your customers generate auto-dialed traffic?
_____Yes _____No
- a. If yes, please describe: _____

REGULATORY REGISTRATION & COMPLIANCE

1. FCC Registration Number (FRN): _____
2. FCC 499 Filer ID: _____
3. FCC 214 Filer ID: _____
4. Universal Service Fund registration number: _____
5. FCC Tax Exempt? _____Yes _____No
6. Are you a member of the US Telecom Industry Traceback Group (ITG)? _____Yes _____No
7. Do you actively participate in the ITG traceback process? _____Yes _____No

8. Have you been determined by the US Telecom Industry Traceback Group to be a "Non-Cooperative Voice Service Provider"? _____ Yes _____ No
9. Have you been blocked from another voice service provider's network after being determined to be a "bad-actor upstream voice service provider" pursuant to a notice to the FCC? _____ Yes _____ No
10. Have you or the controlling person(s) of the entity ever had an adverse judgment against it in a civil lawsuit alleging claims under the TSR, the TCPA, or any other lawsuit regarding Robocalls, automated dialing, calls to the DNC Registry or any state do not call registry, spoofed caller ID numbers, or otherwise unlawful telemarketing or solicitations?
11. Yes _____ No
12. Are you registered in the FCC's Robocalling Mitigation database? _____ Yes _____ No
13. If not, when do you plan to register? _____
14. Have you implemented STIR/SHAKEN in your network? _____
15. Do you have a Robocalling mitigation plan in place to combat illegal Robocalling, including customer vetting and telephone number validation? _____ Yes _____ No
16. If not, when do you plan to put one in place? _____

DOCUMENT REQUEST - Please attach the following documents:

1. Copies of the written policies, practices, and procedures documenting or describing its initiation of, and compliance with, Traceback Requests
2. Copies of the written policies, practices, and procedures monitoring, reviewing, and analyzing call traffic to identify and block unlawful Robocalls or investigate patterns consistent therewith.

CERTIFICATION

The undersigned certifies that s/he is an officer of the Customer and that the Customer has knowledge of the U.S. laws prohibiting the generation and transmission of illegal, harassing or otherwise unwanted voice calls, including but not limited to the Telephone Consumer Protection Act, Truth in Caller ID Act, Telemarketing Sales Rule and Wire Fraud Statute. The

undersigned also confirms that Customer complies with these laws and consents to disclose all details if questions arise regarding potential violations of these laws.

The undersigned further states that Customer is aware of the communications industry traceback groups, certifies that Customer will respond promptly to all traceback requests and take effective steps to address any illegal traffic transmitted on its network. Customer will further include contract terms with its customers to ensure its upstream sources are familiar and compliant with laws prohibiting the generation and transmission of illegal, harassing or otherwise unwanted voice calls.

I verify that I am authorized to legally bind the Customer by my signature.

Name _____

Title _____

Signature _____

Date _____